

FUNCTIONAL ANALYTIC PROPERTIES OF SOME BANACH ALGEBRAS RELATED TO THE FOURIER ALGEBRA

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Dedicated to Professor Anthony To-Ming Lau on the occasions of his 75th birthday with admiration and respect

Abstract. This is a survey of some functional analytic properties of the Banach algebras $A_p^r(G) = A_p \cap L^r(G)$ for locally compact groups G obtained in [18, 19, 20, 21]. These include Arens regularity, strict containment for fixed p and increasing r , the RNP and optimisation in $A_p^r(G)$, weak sequential completeness, spectral synthesis, etc. It is found that $A_p^r(G)$ behaves very differently from $A_p(G)$, if $r < \infty$. The results are new even if $G = R$ or $G = Z$, the real line or the additive integers.

Keywords. Amenability; Banach algebra; Locally compact group; Spectral synthesis.

2010 Mathematics Subject Classification. 43A15, 46J10, 43A25, 46B22.

1. INTRODUCTION

Let G be a locally compact group and let $A_p(G)$ denote the Figa-Talamanca-Herz Banach algebra of G , as defined in [23], thus generated by $L^{p'} * L^{\vee p}(G)$, where $1 < P < \infty$ and $\frac{1}{p} + \frac{1}{p'} = 1$, see sequel. Hence $A_2(G)$ is the Fourier algebra of G as defined and studied by Eymard in [10]. If G is abelian, then $A_2(G) = L^1(\hat{G})^\wedge$. Denote $A_p^r(G) = A_p \cap L^r(G)$, for $1 \leq r \leq \infty$, equipped with the norm

$$\|u\|_{A_p^r} = \|u\|_{A_p} + \|u\|_{L^r}.$$

We note that

$$\|u\|_{A_p} \leq \|u\|_{A_p} + \|u\|_{L^\infty} \leq 2\|u\|_{A_p}.$$

Hence, if $r = \infty$, we denote $A_p^r(G) = A_p(G)$ with the $A_p(G)$ norm. If G is abelian, then

$$A_2^r(G) = L^1(\hat{G})^\wedge \cap L^r(G)$$

with the norm

$$\|u\| = \|f\|_{L^1(\hat{G})} + \|\hat{f}\|_{L^r(G)},$$

if $u = \hat{f}$, the Fourier transform of f .

The study of these Banach Algebras started in a beautiful paper of Larsen, Liu and Wang [28] in the abelian case, and continued in [18, 19, 20, 21, 27, 30, 31].

The paper is divided into the following points:

- (1) The strict containment theorem.
- (2) Arens regularity.
- (3) Approximate identities and Banach algebra amenability.

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Received October 25, 2017; Accepted November 11, 2017.

- (4) The dual of $A_p^r(G)$ and weak sequential completeness.
- (5) Optimisation in $A_p^r(G)$ and the RNP.
- (6) Spectral synthesis for $A_p^r(G)$.

2. DEFINITIONS AND NOTATIONS

Denote as in [23]

$$A_p(G) = \{u = \sum u_n * v_n^\vee : u_n \in L^{p'}, v_n \in L^p, \sum \|u_n\|_{L^{p'}} \|v_n\|_{L^p} < \infty\},$$

where the norm of $u \in A_p$ is the infimum of the last sum over all the representations of u as above. We will omit at times G and write L^p, A_p , etc. instead of $L^p(G), A_p(G)$, etc. Denote by $PM_p = A_p(G)^*$, and by $PF_p(G)$, the norm closure in $PM_p(G)$ of $L^1(G)$, (as a space of convolutors on $L_p(G)$).

Let $W_p(G) = PF_p(G)^*$. Then $W_p(G)$ is a Banach algebra of bounded continuous functions on G containing the ideal $A_p(G)$, see Cowling [5].

If G is abelian and $p = 2$, then $W_2(G) = M(\hat{G})^\wedge$, where $M(G)$ is the space of bounded Borel measures on G . Let $C_0(G)$ [$C_c(G)$] denote the continuous functions which tend to 0 at ∞ , [with compact support], with norm

$$\|u\|_\infty = \sup\{|u(x)| : x \in G\}.$$

Let $A_p^r(G)$ be as above, and define $W_p^r(G) = W_p \cap L^r(G)$, with the sum norm. The group G is weakly amenable if $A_2(G)$ has an approximate identity $\{v_\alpha\}$ bounded in the norm of $B_2(G)$, the space of Herz-Schur multipliers, see [11, 20, 23, 24] and the references therein..

Any closed subgroup G of any finite extension of the general Lorenz group $SO_0(n, 1)$, for $n > 1$, (hence the free group on $n > 1$ generators F_n) is weakly amenable. Clearly amenable groups are weakly amenable, yet F_n is weakly amenable but not amenable.

3. MAIN RESULTS

3.1. The strict containment theorem (SCT).

Theorem 3.1. *Let G be a noncompact locally compact group and $1 < p < \infty$.*

- (1) *If $1 \leq r < p'$ and $r < s \leq \infty$, then $A_p^r \subsetneq A_p^s$.*
- (2) *If G is in addition unimodular this is the case if $1 \leq r < \max\{p, p'\}$.*
- (3) *If G is amenable the above holds with no restriction on r thus for $1 \leq r < \infty$.*
- (4) *If $G = SL(2, R)$, then, by the Kunze-Stein phenomenon, $A_2^r = A_2$, $\forall r > 2$ (see [5, 26]). Hence the theorem does not hold if $p = p = 2$ and $r > 2$.*

Proof. In [18], A_p denotes $A_{p'}$ a la [23]. Replacing p by p' in SCT [18], one gets that if $1 \leq r < p'$ and $r < s$, then $A_p^r \neq A_p^s$. It follows that $A_p^r \neq A_p^s$ in the present (namely [23]) notation. For detailed proofs see [18] and the references therein. \square

Remark 3.1. (4) in Theorem 3.1 holds true for any connected semisimple Lie group with finite center by Cowling's [5] impressive improvement of Kunze-Stein [26].

The case which is not covered by this theorem is $r = p'$.

Question 3.1. (1) If $p' < s \leq \infty$, is $A_p^{p'} \neq A_p^s$? (2) In particular, is it possible that $A_p^{p'} \neq A_p$?

Proposition 3.1. *Assume that G is unimodular. If $2 < p$, then $A_p^{p'} \neq A_p^s$, if $p' < s \leq \infty$.*

Proof. If $p < 2 < p'$, then $A_p^p \neq A_p^s$, if $p < s$ since $p < p'$. Hence $\check{A}_p^p \neq \check{A}_p^s$, i.e., $A_{p'}^{p'} \neq A_{p'}^s$, $\forall p < s \leq \infty$ by unimodularity and since by [6] (pp. 91) $\check{A}_p = A_{p'}$. Replace now p by p' . If $p' < 2 < p$ and $p' < s$, then $A_p^{p'} \neq A_p^s$. This completes the proof. \square

Using a result of Saeki [33], suggested to us by John J.F. Fournier, one can prove the following

Proposition 3.2. *Let G be any noncompact group and $p \geq 2$. Then $A_p^{p'} \neq A_p$.*

3.2. Arens regularity. A commutative Banach algebra A is Arens regular [AR] if A^{**} with Arens multiplication is commutative, see [9] and the references therein.

Let $I \subset J \subset A$ be closed ideals and let $B \subset A$ be a closed subalgebra. If A is AR, then so are B and A/J . Hence, if A/J (or B) is not AR then A/I (A) is not AR.

In particular if $A = A_p^r(G)$ or $A = A_p(G)$ and $F_1 \subset F_2 \subset G$ are closed, and $A(F_2)$ is not AR then neither is $A(F_1)$. For the above and more, see [9] and the references therein. In view of this, it is of interest to know how thin can F be and yet $A(F)$ be non AR, even if $G = R$. Results of Colin Graham [13, 14, 15] address this question. This is also addressed in part in [18].

Theorem 3.2. *Let G be a locally compact group, H a closed nondiscrete subgroup, $F \subset G$ closed and $a, b \in G$. If the set $\text{int}_{aHb}F$ is not empty, then $A_p^r(F)$ is NOT AR for any $1 \leq r \leq \infty$ and $1 < p < \infty$.*

Remark 3.2. $\text{int}_{aHb}F$ denotes the interior of $aHb \cap F$ in aHb . $A_p^r(F)$ is the quotient algebra $A_p^r(G)/I_F$, where

$$I_F = \{u \in A_p^r(G) : u = 0 \text{ on } F\}.$$

Proof. In fact this is Theorem 4 of [18]. The proof there contains a gap which can be fixed as follows: There is some open nonvoid open $V \subset G$ with compact closure such that

$$V \cap aHb \subset F \cap aHb.$$

Let now K denote the closure of $V \cap aHb$, which is also included in $F \cap aHb$. Using Theorem 5 (ii) in [17], one sees that there exist $\psi_1, \psi_2 \in A_p(K)^{**}$ such that $\psi_1 \neq \psi_2$. But by Lemma 2(b) in [17], $\psi_i \square \psi_j = \psi_j$ if $i \neq j$, where \square is the Arens multiplication in $A_p(K)^{**}$, which is hence not commutative. Thus $A_p(K)$ is not AR. However, since the identity $I : A_p(K) \rightarrow A_p^r(K)$ is one to one onto and continuous, it is an isomorphism. Hence $A_p(K)$, afotiori $A_p^r(F)$ is not AR. For $A_p^r(G)$ take $aHb = F = G$. \square

Corollary 3.1. *If G is not discrete, then $A_p^r(G)$ is NOT AR for any $1 < p < \infty$, $1 \leq r \leq \infty$.*

Remark 3.3. Let G be discrete. If G is amenable and infinite then $A_2(G)$ is NOT AR by [29]. If G is abelian and infinite then $A_p(G)$ is NOT AR by [12].

In marked contrast, for any discrete G , $A_p^r(G)$ IS AR for any $1 \leq r \leq \max\{p, p'\}$, $1 < p < \infty$ by the following.

Theorem 3.3. *Let G be any discrete infinite group and. Then $A_p^r(G) = l^r(G)$, if $1 \leq r \leq \max\{p, p'\}$ with pointwise multiplication. For such r , $A_p^r(G)$ and all their even duals are AR Banach algebras.*

See Theorem 7 of [18] and the references therein.

Question 3.2. It is not clear to us what happens if $r > \max\{p, p'\}$.

$A_p(F)$ for thin sets F has been studied in [13, 14, 15].

3.3. Approximate identities and Banach algebra amenability. Let G be infinite and commutative. Then $A_2(G)$ is an amenable Banach algebra since $L^1(\hat{G})$ is amenable by Johnson's theorem, see [25] for definition and proof. There exist though *compact* groups G for which this is not the case [25]. It is of interest to note, that the Banach algebras $A_p^r(G)$ for $1 \leq r < \infty$ behave very differently, see [18] and the references therein.

Theorem 3.4. *Let G be a non compact locally compact group. Then for any $1 < p < \infty$ and $1 \leq r < \infty$, $A_p^r(G)$, and any of its even duals with Arens multiplication, are NON AMENABLE Banach algebras.*

The proof is based on the next result, which is basically due to a more general theorem of Burnham [4]. It is directly proved in [18].

Proposition 3.3. *If G is non compact and $\{w_\alpha\}$ is an approximate identity in $A_p^r(G)$, for some $1 \leq r < \infty$, then $\|w_\alpha\|_{A_p^r} \rightarrow \infty$.*

This is again in marked contrast to the fact that for any amenable group G , $A_p(G)$ has a bounded approximate identity.

3.4. The dual of $A_p^r(G)$ and weak sequential completeness. The following theorem is a particular case of Theorem 1.7 of Liu and van Rooij [32] who proved it for any Banach spaces. A simple and direct proof that is more explicit than that given in Theorem 2 of [28] is given in [18] (pp.411).

Theorem 3.5. *Let $1 \leq r < \infty$ and $1 < p < \infty$. Then $A_p^r(G)^* = L^{r'}(G) + PM_p(G)$ with the action $\langle f + \phi, u \rangle = \int f u d\lambda + \langle f, u \rangle$, $u \in A_p^r$. The norm of $F = f + \phi$ being*

$$\|F\|_{A_p^{r*}} = \inf\{\max\{\|f\|_{L^{r'}}, \|\phi\|_{PM_p}\}\}$$

the infimum (which is attained) being over all $f \in L^{r'}$, $\phi \in PM_p$ such that $F = f + \phi$. Note that $r' = \infty$, if $r = 1$.

Theorem 3.6. (a) *If for some p , A_p is weak sequentially complete, then A_p^r is weak sequentially complete for all $1 \leq r < \infty$. (b) $A_2^r(G)$ is weak sequentially complete for all $1 \leq r \leq \infty$. (c) If $K \subset G$ is compact then $S_p^r(K) = \{u \in A_p^r : \text{spt } u \subset K\}$ is weak sequentially complete $\forall 1 < p < \infty$ and $1 \leq r \leq \infty$.*

Note that $A_2(G)$ is the predual of a W^* algebra and as such is weak sequentially complete, see [34] (pp.148) and the references therein. It is not clear to us, if $A_p(G)$ is weak sequentially complete if $p \neq 2$, even if G is an amenable group.

3.5. Optimization in $A_p^r(G)$.

Definition 3.1. Let X be a Banach space. X has the *Krein-Milman Property (KMP)*, if each norm closed convex bounded subset B of X is the norm closed convex hull of its extreme points, $\text{ext}(B)$, namely, $B = c\bar{o} \text{ext}(B)$.

Hence Linear Optimisation Problems in such Sets B have Solutions.

The closed unit ball B_1 of $L^1(\mu)$, for a nonatomic measure μ , has no extreme points, hence it does not have the KMP. And yet, if μ is atomic (for example in case of l^1) then B_1 has many extreme points. In fact this space does even have the KMP and is in addition a dual space. Known results (see [7]) then imply that this space has a stronger property denoted RNP, namely:

Definition 3.2. Let X be a Banach space. X has the *Radon-Nikodym Property (RNP)* if each B as above is the norm closed convex hull of its strongly exposed points ($\text{stexp}(B)$), namely $B = \bar{c}_0 \text{stexp}(B)$ see sequel or [7] (pp. 190 and pp. 218).

Points in $\text{stexp}(B)$ are points of $\text{ext}(B)$ that have beautiful smoothness properties. In particular they are weak to norm continuity points of B and are peak points of B .

Hence: Linear Optimisation Problems in such sets B , Have Solutions but Moreover, it is Easy to Test if an Algorithm Converges to a Solution.

Quoting Jerry Uhl: A Banach space has the RNP if its unit ball wants to be weakly compact, but just cannot make it.

Definition 3.3. Let B be a bounded subset of the Banach space X and $b \in B$. b is a strongly exposed point of B (and $\text{stexp}(B)$ denotes the set of all such), if $\exists b^* \in X^*$ such that (see [7])

$$\text{Re } b^*(x) < \text{Re } b^*(b), \quad x \in B, x \neq b$$

and

$$\text{Re } b^*(x_n) \rightarrow \text{Re } b^*(b), \text{ for } x_n \in B \text{ implies } \|x_n - b\| \rightarrow 0.$$

Hence in order to Test an Algorithm for some b in $\text{stexp}(B)$ it is Enough to Test it on One Element of X^* .

Any X which is norm isomorphic to l^1 has the RNP. If X a dual Banach space, and B is w^* compact convex, then the functional b^* can be chosen in the predual of X , see [7] and the references therein. It follows from above that if G is abelian, then $A_2(G)$ has the RNP if G is compact and does not have the RNP if G is not compact. And yet, for any abelian G and any compact subset K

$$A_K^2(G) = \{u \in A_2(G) : \text{spt } u \subset K\}$$

does have the RNP, where spt denotes support.

In fact we have proved in [16] that for any G and any compact subset K and any $1 < p < \infty$, $A_K^p(G) = \{u \in A_p(G) : \text{spt } u \subset K\}$ has the RNP. Tools for abelian G are not available in this case. It has been proved by Braun, in an unpublished preprint [2], that if G is amenable, then $A_p^1(G)$ is a dual Banach space with the RNP.

The result in [2] uses the method in [16] and the involved machinery of [3], which is avoided in our proofs. The following results have been obtained in our paper [21], and are improvements of results in [19] and [20].

Theorem 3.7. Let $p = 2$ or G be weakly amenable and $1 < p < \infty$. Then

$$W_p \cap L^r(G) = A_p \cap L^r(G), \quad \forall 1 \leq r \leq p'.$$

Hence (by [20] Theorem 2.2), $A_p^r(G)$ is a dual Banach space for such r . If G is in addition unimodular, then the above holds for $1 \leq r \leq \max\{p, p'\}$.

The interval $[1, p']$ cannot be improved even if $p = 2$ and $G = \mathbb{Z}$, the additive integers, see [19, 22], and the sequel. Use of Theorem 3.1 is made in proving the main result of this section, namely:

Theorem 3.8. (see [21]) Let G be a weakly amenable locally compact group. Then

- (1) $\forall 1 \leq r \leq p', A_p^r(G) = W_p^r(G)$ and $A_p^r(G)$ is a dual Banach Algebra with the RNP.

- (2) If G is in addition unimodular this is the case $1 \leq r \leq \max\{p, p'\}$.
- (3) If G is any connected semisimple Lie group with finite center, $p = p' = 2$ and $r > 2$, then $A_2^r(G) = A_2(G)$ (by Cowling [5]) and $A_2^r(G)$ does not have the RNP and is not a dual space.

Remark 3.4. (2) was proved in [20]. (3) with $G = SL(2, R)$ was proved in [19], by considering the support of the Plancherel measure on the dual of G . The proof of (3) in the present form uses results of Baggett [1].

Question 3.3. Does $A_2^r(Z)$ fail to have the RNP if $r > 2$?

3.6. Spectral synthesis for $A_p^r(G)$.

Theorem 3.9. (see [18]) Assume that for some p , $A_p(G)$ has an approximate identity $\{u_\alpha\}$ such that $\|u_\alpha\|_{m_p} < \infty$. Then, for this p , the Banach algebras $A_p^r(G)$ have the same sets of synthesis for all $1 \leq r \leq \infty$.

The multiplier norm is defined by

$$\|u\|_{m_p} = \sup\{\|uv\|_{A_p} : v \in A_p, \|v\|_{A_p} \leq 1\}.$$

Recall that $A_p^r(G) = A_p(G)$, if $r = \infty$.

The above improves results of Burnham [4] and of Lai and Chen [27].

Remark 3.5. The empty set \emptyset is a set of synthesis for $A_p(G)$ for all G , i.e. $A_p \cap C_c(G)$ is norm dense in $A_p(G)$. And yet we are not able to prove that \emptyset is a set of synthesis for $A_p^r(G)$, if $r < \infty$, for all G . This is the reason for the need of the approximate identity in the theorem above. In fact it is not clear if Theorem 3.9 holds for the group $G = SL(2, R) \triangleleft R^n$ if $n > 1$. In this case $A_2(G)$ has no multiplier bounded approximate identity, by Doroffaeff [8]. Is \emptyset a synthesis set for $A_2^r(G)$ if $r < \infty$?

If p varies then the sets of synthesis do not remain the same, even if $G = R^n$. In fact let S^{n-1} denote the unit sphere in R^n .

- (1) If $1 < p < 3/2$, then S^3 IS a synthesis set for $A_p^r(R^4)$, $1 \leq r \leq \infty$.
- (2) If $3/2 < p \leq 2$, then S^3 NOT a synthesis set for $A_p^r(R^4)$, $1 \leq r \leq \infty$.

Eymard [11] proved the above for $A_p(R^4)$, (i.e. for $r = \infty$). Eymards result together with Theorem 3.9 imply (1) and (2).

Theorem 3.10. Assume that for some p , $A_p(G)$ has an approximate identity $\{u_\alpha\}$ such that $\|u_\alpha\|_{m_p} < \infty$. Let H be a closed subgroup of G . Then, for this p , one has (i) If $p = 2$, then any coset of H is a set of synthesis for $A_2^r(G)$, $\forall 1 \leq r \leq \infty$. (ii) If $p \neq 2$ and H is amenable or is normal in G or a coset of such (singletons $\{x\}$ for x in G are such) then it is a synthesis set for $A_p^r(G)$, $\forall 1 \leq r \leq \infty$.

Proof. (i) By Takesaki-Tatsuma [35], H is a synthesis set for $A_2(G)$. (ii) By Herz [23] H is a synthesis set for $A_p(G)$. \square

Addendum. If G is second countable and weakly amenable and $A_p^t(G)$ has the RNP then so does $A_p^s(G)$, $\forall s \leq t$, by [21]. Hence, if $A_2(G)$ has the RNP, (i.e. G is a Fell group see [1]) then so does $A_2^s(G)$, $\forall 1 \leq s \leq \infty$, see [21].

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