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UNIFIED FORMS OF FIXED POINT THEOREMS AND MINIMAX INEQUALITIES

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Abstract. We show a unified form of two fixed point theorems and a unified form of a fixed point theorem and a minimax inequality. We also apply our results to show the existence of solutions of generalized quasi-variational inequalities.

Keywords. Fixed point theorems, Minimax inequalities, Variational inequalities.

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1. Introduction

Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty subset of E. We denote by E' the space of all continuous linear functionals on E. Let $S: X \to 2^X$ and $T: X \to 2^{E'}$ be set-valued mappings, i.e., for each $x \in X$, Sx is a subset of X and Tx is a subset of E'. A generalized quasi-variational inequality problem ([3, 10]) is to find $x \in X$ such that

 $x \in Sx$, and there exists $u \in Tx$ such that $Re \langle u, y - x \rangle \ge 0$ for each $y \in Sx$.

Shih and Tan [10] proved theorems concerning this problem. Two of them are Corollaries 3.1 and 3.2 in this paper. From their results, we can deduce the Fan-Glicksberg fixed point theorem and the existence of a solution of a variational inequality. That is, their results are combinations of a fixed point theorem and the existence of a solution of a variational inequality.

Motivated by their results, we will show some unified forms of fixed point theorems and minimax inequality theorems. Our results are related to the following celebrated theorems in the fixed point theory. The following is the Fan-Glicksberg fixed point theorem [4, 8].

Theorem 1.1 (Fan and Glicksberg). Let X be a compact, convex subset of a locally convex Hausdorff topological vector space. Let $S: X \to 2^X$ be an upper semicontinuous set-valued mapping such that, for each $x \in X$, Sx is nonempty, closed and convex. Then there is $x \in X$ such that $x \in Sx$.

The following fixed point theorem was obtained by Ben-El-Mechaiekh, Deguire and Granas [1], which is a generalization of Fan-Browder's fixed point theorem [2, 5].

Theorem 1.2 (Ben-El-Mechaiekh, Deguire and Granas). Let X be a nonempty, compact, convex subset of a Hausdorff topological vector space. Let $A, B: X \to 2^X$ be set-valued mappings such that

(1) for each $x \in X$, $Bx \subset Ax$ and Bx is a relatively open subset of X,

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(2) for each $y \in X$, $B^{-1}y$ is nonempty and $A^{-1}y$ is convex.

Then there exists $x \in X$ such that $x \in Ax$.

The following minimax inequality theorem was obtained by Yen [12], which is a generalization of Fan's one [6]. Shih and Tan applied it to obtain their results including Corollaries 3.1 and 3.2 below.

Theorem 1.3 (Yen). Let X be a compact, convex set in a Hausdorff topological vector space. Let $f,g:X\to\mathbb{R}$ such that

- (1) for each $x \in X$, $g(x, \cdot)$ is lower semicontinuous on X,
- (2) for each $y \in X$, $f(\cdot, y)$ is quasi-concave on X,
- (3) $g(x,y) \le f(x,y)$ for each $(x,y) \in X \times X$.

Then the minimax inequality

$$\min_{y \in X} \sup_{x \in X} g(x, y) \le \sup_{x \in X} f(x, x)$$

holds.

In this article, we show a unified form of two fixed point theorems and a unified form of a fixed point theorem and a minimax inequality, and we apply our results to show the existence of solutions of generalized quasi-variational inequalities.

2. Preliminaries

We recall some definitions. Let X and Y be Hausdorff topological spaces, and let $S: X \to 2^Y$ be a set-valued mapping such that, for each $x \in X$, Sx is a nonempty subset of Y. We say S is upper semicontinuous if, for each point $x_0 \in X$ and each open set V in Y with $Sx_0 \subset V$, there is a neighborhood U of x_0 in X such that $Sx \subset V$ for each $x \in U$. If Y is compact and Sx is closed for each $x \in X$, then S is upper semicontinuous if and only if the graph $\{(x,y) \in X \times Y: y \in Sx\}$ of S is closed in $X \times Y$. We say S is lower semicontinuous if, for each point $x_0 \in X$ and each open set V in Y with $Sx_0 \cap V \neq \emptyset$, there is a neighborhood U of x_0 in X such that $Sx \cap V \neq \emptyset$ for each $x \in U$. If S is lower semicontinuous, a net $\{x_\alpha\} \subset X$ converges to $x_0 \in X$ and $y_0 \in Sx_0$, then there exist a subnet $\{x_{\alpha_\beta}\}$ of $\{x_\alpha\}$ and a net $\{y_\beta\}$ such that $y_\beta \in Sx_{\alpha_\beta}$ for each β and $\{y_\beta\}$ converges to y_0 . We say S is continuous if it is upper semicontinuous and lower semicontinuous.

For a set-valued mapping $A: X \to 2^Y$, we denote by $A^{-1}y$ the set $\{x \in X : y \in Ax\}$ for each $y \in Y$. In the case that $X \subset Y$ and Y is convex, we say a set-valued mapping $G: X \to 2^Y$ is a KKM-map if

$$co\{x_1,\ldots,x_n\}\subset\bigcup_{i=1}^nGx_i$$
 for each finite subset $\{x_1,\ldots,x_n\}$ of X ,

where $co\{x_1,...,x_n\}$ denotes the convex hull of $\{x_1,...,x_n\}$.

We recall Fan's generalization [7] of the Knaster-Kuratowski-Mazurkiewicz theorem [9].

Theorem 2.1 (Fan). Let X,Y be subsets of a Hausdorff topological vector space such that $\emptyset \neq X \subset Y$ and Y is convex. Let $G\colon X \to 2^Y$ be a KKM-map such that, for each $x \in X$, Gx is a relatively closed subset of Y. If there is a nonempty subset X_0 of X such that $\bigcap_{x \in X_0} Gx$ is compact and X_0 is contained in a compact, convex subset of Y, then $\bigcap_{x \in X} Gx \neq \emptyset$.

3. MAIN RESULTS AND APPLICATIONS

We state our unified form of the Fan-Glicksberg fixed point theorem and Ben-El-Mechaiekh-Deguire-Granas's fixed point theorem. We note that we can derive the Tychonoff fixed point theorem [11] by considering $S: X \to X$ is a single-valued continuous mapping and Ax = Bx = X for each $x \in X$. We also note that it has a similar form to Theorem 1.2.

Theorem 3.1. Let X be a nonempty, compact, convex subset of a locally convex Hausdorff topological vector space. Let $S: X \to 2^X$ be a continuous set-valued mapping such that, for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let $A,B: X \to 2^X$ be set-valued mappings such that

- (1) for each $x \in X$, $x \in Bx$, $Bx \subset Ax$ and $X \setminus Bx$ is convex,
- (2) for each $y \in X$, $A^{-1}y$ is closed and convex,
- (3) A is upper semicontinuous.

Then there exists $x \in X$ such that $x \in Sx$ and $Sx \subset Ax$.

Proof. We define a set-valued mapping $T: X \to 2^X$ by

$$Tx = \{z \in Sx : Sx \subset Az\}$$
 for each $x \in X$.

Let $x \in X$. Since $Tx = Sx \cap \bigcap_{y \in Sx} A^{-1}y$, Tx is closed and convex. We will show that Tx is nonempty. We claim that the set-valued mapping $z \mapsto Sx \cap B^{-1}z$: $Sx \to 2^{Sx}$ is a KKM-map. Assume that the claim does not hold. Then there exist $\{z_1, \ldots, z_n\} \subset Sx$ and $z \in \operatorname{co}\{z_1, \ldots, z_n\}$ such that $z \notin \bigcup_{i=1}^n (Sx \cap B^{-1}z_i)$. We may assume that $z = \sum_{i=1}^n \lambda_i z_i$ with $\lambda_i > 0$ for each $i = 1, \ldots, n$ and $\sum_{i=1}^n \lambda_i = 1$. Since Sx is convex, we have $z \in Sx$. Hence $z_i \in X \setminus Bz$ for each $i = 1, \ldots, n$. Since $X \setminus Bz$ is convex, we obtain $z \notin Bz$, which is a contradiction. Thus we have shown the claim. Since $Sx \cap B^{-1}z \subset Sx \cap A^{-1}z$ for each $z \in Sx$, the set-valued mapping $z \mapsto Sx \cap A^{-1}z$: $Sx \to 2^{Sx}$ is also a KKM-map. Hence, by Theorem 2.1, we have $Tx = Sx \cap \bigcap_{y \in Sx} A^{-1}y \neq \emptyset$. Thus we have shown that Tx is nonempty.

Next, we show that T is upper semicontinuous. Let $\{(x_{\alpha}, z_{\alpha})\} \subset X \times X$ be a net such that $z_{\alpha} \in Tx_{\alpha}$ for each α and $\{(x_{\alpha}, z_{\alpha})\}$ converges to $(x, z) \in X \times X$. Since S is upper semicontinuous, we have $z \in Sx$. Let y be an arbitrary element of Sx. Since S is lower semicontinuous, there exist a subnet $\{x_{\alpha_{\beta}}\}$ of $\{x_{\alpha}\}$ and a net $\{y_{\beta}\}$ such that $y_{\beta} \in Sx_{\alpha_{\beta}}$ for each β and $\{y_{\beta}\}$ converges to y. By the upper semicontinuity of S and S0, we have S1, which yields S2 and S3, we have S3, which yields S4, we have shown that S5 and S5 and S6.

Let X be a nonempty subset of a locally convex Hausdorff topological vector space E, and let T be a set-valued mapping of X into E' such that, for each $x \in X$, Tx in nonempty. We say T is monotone if

Re
$$\langle u - v, x - y \rangle > 0$$
 for each $x, y \in X$ and for each $u \in Tx, v \in Ty$.

Corollary 3.1 (Shih and Tan). Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty, compact, convex subset of E. Let $S: X \to 2^X$ be a continuous set-valued mapping such that, for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let $T: X \to 2^{E'}$ be a monotone, lower semicontinuous set-valued mapping from X to the strong topology of E' such that, for each $x \in X$, Tx is a nonempty subset of E'. Then there exists $x \in X$ such that

$$x \in Sx$$
 and $\inf_{u \in Tx} \operatorname{Re} \langle u, y - x \rangle \ge 0$ for each $y \in Sx$.

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Proof. We define set-valued mappings $A, B: X \to 2^X$ by

$$Ax = \{ y \in X : \inf_{v \in Ty} \operatorname{Re} \langle v, y - x \rangle \ge 0 \} \quad \text{ for each } x \in X,$$

$$Bx = \{ y \in X : \sup_{u \in Tx} \text{Re} \langle u, y - x \rangle \ge 0 \} \text{ for each } x \in X,$$

respectively. It is easy to see that, for each $x \in X$, $x \in Bx \subset Ax$ and $X \setminus Bx$ is convex, and ,for each $y \in X$, $A^{-1}y$ is closed and convex. Since T is lower semicontinuous from the topology of E to the strong topology of E', A is upper semicontinuous. By Theorem 3.1, there exists $x \in X$ such that

$$x \in Sx$$
 and $\inf_{v \in Tv} \operatorname{Re} \langle v, y - x \rangle \ge 0$ for each $y \in Sx$.

We will show

$$\inf_{u \in Tx} \operatorname{Re} \langle u, z - x \rangle \ge 0 \quad \text{for each } z \in Sx.$$
 (3.1)

Let $z \in Sx$. Since $x \in Sx$ and Sx is convex, we have $z_t = tz + (1-t)x \in Sx$ for each $t \in (0,1)$. So

$$\inf_{v \in T_{z_t}} \operatorname{Re} \langle v, z - x \rangle \ge 0 \quad \text{for each } t \in (0, 1).$$
(3.2)

By

$$s \ge t \Leftrightarrow s - t \in (-\infty, 0]$$
 for each $s, t \in (0, 1)$,

we consider that (0,1) is a directed set and $\{z_t\}$ is a net. We note that $\{z_t\}$ converges to x. Let $u \in Tx$. Since T is lower semicontinuous, there exist a subnet $\{z_{t_\alpha}\}$ of $\{z_t\}$ and a net $\{u_\alpha\}$ such that $u_\alpha \in Tz_{t_\alpha}$ for each α and $\{u_\alpha\}$ converges to u in the σ -(E',E) topology of E'. From (3.2), we have $\operatorname{Re}\langle u_\alpha, z-x\rangle \geq 0$ for each α , which yields $\operatorname{Re}\langle u, z-x\rangle \geq 0$. Since u is an arbitrary element of Tx, we obtain (3.1). This completes the proof.

Let X be a nonempty subset of a locally convex topological vector space E. For a set-valued mapping $S: X \to 2^E$ such that, for each $x \in X$, Sx is nonempty, we say S is upper demicontinuous if for each $x_0 \in X$, for each $\varphi \in E'$ and for each $t \in \mathbb{R}$ with $Sx \subset \{y \in X : \operatorname{Re} \varphi(y) < t\}$, there exists an neighborhood U of x_0 in X such that for each $z \in U$, $Sz \subset \{y \in X : \operatorname{Re} \varphi(y) < t\}$.

The following is our unified form of the Fan-Glicksberg fixed point theorem and a minimax inequality.

Theorem 3.2. Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty, paracompact, convex subset of E. Let $S: X \to 2^X$ be an upper demicontinuous set-valued mapping such that, for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let f,g be functions of $X \times X$ into \mathbb{R} such that

- (1) for each $x \in X$, $f(x,x) \ge 0$ and $f(x,\cdot)$ is a convex function of X into \mathbb{R} ,
- (2) for each $y \in X$, $g(\cdot, y)$ is an upper semicontinuous function of X into \mathbb{R} ,
- (3) for each $(x,y) \in X \times X$, $f(x,y) \le g(x,y)$.

Suppose further that the set $V_0 = \{x \in X : \inf_{y \in Sx} g(x,y) < 0\}$ is relatively open in X and there exist a nonempty, compact, convex subset K of X and a compact subset L of X such that

- (4) for each $x \in X \setminus L$, $Sx \cap K \neq \emptyset$,
- (5) for each $x \in (X \setminus L) \cap V_0$, there exists $y \in Sx \cap K$ with g(x,y) < 0.

Then there exists $x \in X$ such that

$$x \in Sx$$
 and $g(x,y) \ge 0$ for each $y \in Sx$.

Proof. Suppose that the assertion dose not hold. Then, for each $x \in X$, either $x \notin Sx$ or there exists $y \in Sx$ such that g(x,y) < 0. In the former case, there exist $p \in E' \setminus \{0\}$ and $t \in \mathbb{R}$ such that $\text{Re } \langle p, x \rangle > t > \text{Re } \langle p, y \rangle$ for each $y \in Sx$, and in the latter case, it holds $x \in V_0$. For each $p \in E' \setminus \{0\}$, let

$$V_p = \{x \in X : \text{there exists } t \in \mathbb{R} \text{ such that } \operatorname{Re} \langle p, x \rangle > t > \operatorname{Re} \langle p, y \rangle \text{ for each } y \in Sx \}.$$

Then $\{V_0\} \cup \{V_p : p \in E' \setminus \{0\}\}$ is an open covering of X. Since X is paracompact, there exists a continuous partition of unity $\{\beta_0\} \cup \{\beta_p : p \in E' \setminus \{0\}\}$ subordinate to this open covering. We define set-valued mappings $F, G: X \to 2^X$ by

$$\begin{split} Fy &= \{x \in X: \beta_0(x) f(x,y) + \sum_{p \in E' \setminus \{0\}} \beta_p(x) \operatorname{Re} \langle p, y - x \rangle \geq 0\} \quad \text{for each } y \in X, \\ Gy &= \{x \in X: \beta_0(x) g(x,y) + \sum_{p \in E' \setminus \{0\}} \beta_p(x) \operatorname{Re} \langle p, y - x \rangle \geq 0\} \quad \text{for each } y \in X. \end{split}$$

We show that F is a KKM-map. If not, then there exists a finite subset $\{y_1, \dots, y_n\}$ of X and $(\lambda_1, \dots, \lambda_m) \in \mathbb{R}^m$ such that $\lambda_j > 0$ for each $j = 1, \dots, m$, $\sum_{j=1}^m \lambda_j = 1$ and $\sum_{j=1}^m \lambda_j y_j \notin \bigcup_{j=1}^m F y_j$. Letting $y = \sum_{j=1}^m \lambda_j y_j$, we have

$$\beta_0(y)f(y,y_j) + \sum_{p \in E' \setminus \{0\}} \beta_p(y) \operatorname{Re} \langle p, y_j - y \rangle < 0 \quad \text{for each } j = 1, \cdots, m.$$

Then

$$0 > \sum_{j=1}^{m} \lambda_j \left(\beta_0(y) f(y, y_j) + \sum_{p \in E' \setminus \{0\}} \beta_p(y) \operatorname{Re} \langle p, y_j - y \rangle \right) \ge \beta_0(y) f(y, y) \ge 0,$$

which is a contradiction. Hence F is a KKM-map. Since $Fy \subset Gy$ for each $y \in X$, G is also a KKM-map, and for each $y \in X$, Gy is closed. By Theorem 2.1, we can see that if $\bigcap_{y \in K} Gy \subset L$, then $\bigcap_{y \in X} Gy \neq \emptyset$. So, $\bigcap_{y \in K} Gy \setminus L \neq \emptyset$ or $\bigcap_{y \in X} Gy \neq \emptyset$. We will obtain a contradiction in each of these cases. First, we consider the case $\bigcap_{y \in X} Gy \neq \emptyset$. Let $\hat{x} \in \bigcap_{y \in X} Gy$. Then

$$\beta_0(\hat{x})g(\hat{x},y) + \sum_{p \in E' \setminus \{0\}} \beta_p(\hat{x}) \operatorname{Re} \langle p, y - \hat{x} \rangle \ge 0 \quad \text{for each } y \in X.$$
 (3.3)

In the case $\beta_0(\hat{x}) > 0$, we choose $\hat{y} \in S\hat{x}$ such that $g(\hat{x}, \hat{y}) < 0$. In the case $\beta_0(\hat{x}) = 0$, let \hat{y} be any element of $S\hat{x}$. We note that if $\beta_p(\hat{x}) > 0$ with $p \neq 0$, then $\hat{x} \in V_p$, which implies $\text{Re}\langle p, \hat{y} - \hat{x} \rangle < 0$. Hence

$$\beta_0(\hat{x})g(\hat{x},\hat{y}) + \sum_{p \in E' \setminus \{0\}} \beta_p(\hat{x}) \operatorname{Re} \langle p, \hat{y} - \hat{x} \rangle < 0,$$

which contradicts (3.3).

Next, we consider the case $\bigcap_{y \in K} Gy \setminus L \neq \emptyset$. Let $\tilde{x} \in \bigcap_{y \in K} Gy \setminus L$. Then $\tilde{x} \in X \setminus L$ and

$$\beta_0(\tilde{x})g(\tilde{x},y) + \sum_{p \in E' \setminus \{0\}} \operatorname{Re} \langle p, y - \tilde{x} \rangle \ge 0 \quad \text{for each } y \in K.$$
 (3.4)

In the case $\beta_0(\tilde{x}) > 0$, we have $\tilde{x} \in (X \setminus L) \cap V_0$ and we choose $\tilde{y} \in S\tilde{x} \cap K$ to satisfy $g(\tilde{x}, \tilde{y}) < 0$. In the case $\beta_0(\tilde{x}) = 0$, we choose any $\tilde{y} \in S\tilde{x} \cap K$. Hence, we can see

$$\beta_0(\tilde{x})g(\tilde{x},\tilde{y}) + \sum_{p \in E' \setminus \{0\}} \beta_p(\tilde{x}) \operatorname{Re} \langle p, \tilde{y} - \tilde{x} \rangle < 0,$$

which contradicts (3.4). Thus, we obtain a contradiction in each case of $\bigcap_{y \in K} Gy \setminus L \neq \emptyset$ and $\bigcap_{y \in X} Gy \neq \emptyset$. Therefore we have shown that the assertion holds.

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In the case that X is compact, we have the following. We can derive the Fan-Glicksberg fixed point theorem by setting f = g = 0.

Theorem 3.3. Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty, compact, convex subset of E. Let $S: X \to 2^X$ be an upper semicontinuous set-valued mapping such that for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let f,g be functions of $X \times X$ into \mathbb{R} such that

- (1) for each $x \in X$, $f(x,x) \ge 0$ and $f(x,\cdot)$ is a convex function of X into \mathbb{R} ,
- (2) for each $y \in X$, $g(\cdot, y)$ is an upper semicontinuous function of X into \mathbb{R} ,
- (3) for each $(x,y) \in X \times X$, $f(x,y) \le g(x,y)$.

Suppose further that the set $\{x \in X : \inf_{y \in S_X} g(x,y) < 0\}$ is relatively open in X. Then there exists $x \in X$ such that

$$x \in Sx$$
 and $g(x,y) \ge 0$ for each $y \in Sx$.

Let X be a nonempty subset of a locally convex Hausdorff topological vector space E. Let $T: X \to 2^{E'}$ such that for each $x \in X$, Tx in nonempty. We say T is lower hemicontinuous if for each line segment L in E, $T|_{X \cap L}: X \cap L \to 2^{E'}$ is lower semicontinuous from the topology of E to the σ -(E'.E) topology of E'.

Theorem 3.4. Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty, paracompact, convex subset of E. Let $S: X \to 2^X$ be an upper demicontinuous set-valued mapping such that, for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let $T: X \to 2^{E'}$ be monotone and lower hemicontinuous such that, for each $x \in X$, Tx is a nonempty subset of E'. Suppose further that the set $V_0 = \{x \in X : \inf_{y \in Sx} \inf_{v \in Ty} \operatorname{Re} \langle v, y - x \rangle < 0\}$ is relatively open in X and there exist a nonempty, compact, convex subset X of X and a compact subset X of X such that

- (1) for each $x \in X \setminus L$, $Sx \cap K \neq \emptyset$,
- (2) for each $x \in (X \setminus L) \cap V_0$, there exists $y \in Sx \cap K$ such that

$$\inf_{v \in Tv} \operatorname{Re} \langle v, y - x \rangle < 0.$$

Then there exists $x \in X$ *such that*

$$x \in Sx$$
 and $\inf_{u \in Tx} \operatorname{Re} \langle u, y - x \rangle \ge 0$ for each $y \in Sx$.

Proof. Define functions f, g on $X \times X$ by

$$f(x,y) = \sup_{u \in Tx} \operatorname{Re} \langle u, y - x \rangle$$
 and $g(x,y) = \inf_{v \in Ty} \operatorname{Re} \langle v, y - x \rangle$

for each $(x,y) \in X \times X$. Since T is monotone and nonempty-valued, we have $f \leq g$. Hence f and g are real-valued. By Theorem 3.2, there exists $x \in X$ such that $x \in Sx$ and $\inf_{v \in Ty} \operatorname{Re} \langle v, y - x \rangle \geq 0$ for each $y \in Sx$. By the same lines as those in the proof of Theorem 3.1, we have

$$\inf_{u \in Tx} \operatorname{Re} \langle u, y - x \rangle \ge 0 \quad \text{for each } y \in Sx.$$

As a direct consequence, we have the following.

Corollary 3.2 (Shih and Tan). Let E be a locally convex Hausdorff topological vector space, and let X be a nonempty, compact, convex subset of E. Let $S: X \to 2^X$ be an upper semicontinuous set-valued mapping such that, for each $x \in X$, Sx is a nonempty, closed, convex subset of X. Let $T: X \to 2^{E'}$ be a monotone and lower hemicontinuous set-valued mapping such that, for each $x \in X$, Tx is a nonempty subset of E'. Suppose further that the set $\{x \in X : \inf_{y \in Sx} \inf_{v \in Ty} \operatorname{Re} \langle v, y - x \rangle < 0\}$ is relatively open in X. Then there exists $x \in X$ such that

$$x \in Sx$$
 and $\inf_{u \in Tx} \operatorname{Re} \langle u, y - x \rangle \ge 0$ for each $y \in Sx$.

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